

道口證券有限公司（即「本公司」）获香港证券及期货事务监察委员会发牌（中央编号为 ABS418）按证券及期货条例经营第一类（证券交易）受规管活动。此外，本公司为香港联合交易所有限公司参与者，亦为香港中央结算有限公司参与者。

DaoKou Securities Limited (the "Company") has been licensed by the Securities and Futures Commission in Hong Kong (with CE no. ABS418) to carry on Type 1 (dealing in securities) regulated activities under the Securities and Futures Ordinance. In addition, the Company is an Exchange Participant of The Stock Exchange of Hong Kong Limited, as well as a Clearing House Participant of Hong Kong Securities Clearing Company Limited.

备注：此乃重要文件。阁下（即「客户」）应仔细阅读并充分理解本协议之内容包括开户申请表与条款及条件（连同所有附表）有关于本公司开立及持有一个或多个之交易帐户（即「帐户」）。客户在签署本开户申请表前，如有所需，应先寻求独立法律或其他专业意见。倘若本开户申请表的中英文版本有任何歧异，一概以英文版本为准。

Note: This is an important document. You (the "Client") should carefully read and fully understand the contents of the Agreement comprised of this Account Opening Application and the Terms and Conditions (with all Schedules thereto) for one or more trading accounts (the "Account(s)") to be opened and maintained with the Company. Before signing the Account Opening Application, the Client should firstly obtain independent legal or other professional advice as the Client may deem necessary. In case of any discrepancy between the English and Chinese versions of this Account Opening Application, the English version shall prevail.

重要部份必须由客户填写及签署 Important parts should be filled and signed by Client.

开户申请表 ACCOUNT OPENING APPLICATION

(个人帐户 INDIVIDUAL ACCOUNT)

客户种类 Account Type	现金账户 Cash Account	帐户号码 Account No.	
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帐户类型 Account Nature	个人帐户 Individual Account	联名帐户^ Joint Account^	落盘方式 Method of Placing Order	电话 By Telephone (Manual)	网上 By Internet
证券市场交易种类 Selection of trading securities	香港及美国证券 H.K & U.S. Stocks	中国A股 A Shares-Mainland China	基金 / 债券 Fund / Bond	其他 Others_____	^如属联名账户，每位账户持有人均需各自填写一份 开户表格及签署联名账户开户委托书。 ^Each Joint Account Holder should complete an Account Opening Form and Joint Account Mandate.

1. 个人资料 Personal Information

个人帐户主要持有人 Individual/Primary Joint Account Holder				
称谓 title	先生 Mr	女士 Ms	英文姓氏 Last Name or Surname	
中文姓名 Name in Chinese			英文名字 First or Given Name	
住宅地址 Residential Address				
	邮政编号 Postal Code			
身份证号码/ 护照号码 ID Card No. / Passport No.			出生日期 (日/月/年) Date of birth (DD/MM/YY)	
签发地点 Place of Issue			出生地点 Country of Birth	
流动电话 Mobile No.	(+)		国籍/公民身份 Nationality / Citizenship	
其他电话 Other Tel No.	(+)		电子邮箱 E-mail	

2. 指定银行户口 Designated Bank Account

除非客户另以书面指示，若客户指示本公司支付客户账户内的现金款项，账户资金将被转入客户以下的指定银行户口

Unless otherwise instructed by the Client in writing, in case the Client instructs the Company to pay the cash balance in the Account to the Client, the money will be credited to the below Client's Designated Bank Account:

貨幣 Currency	银行名称: Name of Bank :	帐户号码: Account No. :	帐户名称: Account Name :
港币 HKD			
美元 USD			
人民币 CNY			

7. 投资经验及衍生产品认识 Investment Experience & Derivative Products Knowledge						
投资目标 Investment Objective:	股息收入 Dividend Income	资本增值 Capital Appreciation	对冲 Hedging	投机 Speculation	其他 Others _____	
投资经验 (可选多于一项) Investment Experience (Can choose more than one)						
產品Product	經驗 (以年計算) Experience (in Terms of Year)					
	Nil 無	< 1	1 - 3	3 – 5	5 – 10	> 10
股票Stock						
結構性及衍生產品 Structured and derivative product						
債券 / 基金 Bond / Fund						
其他 Others_____						
预计在本户口的交易量 Anticipated Level of Trading Activity in the Account						
每月交易金额 (港币) Transaction amount per month (HKD)	< \$500,000		> \$500,000			
每月交易合约次数 Number of Transaction per month	< 20		20 - 40		>40	
投资产品 Investments Products	股票Stocks 单位信托基金或债券Mutual funds or Bonds		衍生产品结构性及衍生产品Derivative products			
风险承受程度 Risk Tolerance						
投資組合按年所承受波幅 Annual volatility of investment portfolio	低 Conservative		中 Moderate		高 Aggressive	
对衍生产品之认识问卷 Derivative Products Knowledge Questionnaire	按以下选择，在下列方格中加上√ (Refer to the following statements and tick the appropriate box(es) below)					
	本人了解衍生产品的性质和风险： I understand the nature and risks of derivative products due to：					
	1. 已接受有关的培训和课程。 Undergoing relevant training or attending course in					
	监管机构 Regulatory Authority	交易所 Exchange	金融机构 Financial Institution	进修学院 Education Institution	大专院校 Tertiary Institution	其他 Others _____
	2. 于金融机构拥有有关的工作经验。 Gaining prior relevant work experience in financial institution(s)					
	经纪公司或银行 Brokerage Firm or Bank	基金或资产管理公司 Fund House or Asset Mgt Firm	监管机构或交易所 Regulatory Authority or Exchange	其他 Others _____		
	3. 于过去三年内进行了五次或以上有关衍生产品之交易。(不论是否于交易所进行交易) Executing five or more transactions in derivative products (whether traded on an exchange or not) within the past three years.					
	是 Yes		否 No			

8. 投资者分类声明 (基于对衍生工具的知识)

本人特此声明并承认基于本人对衍生产品的知识，本人同意于证监会所要求的投资者分类下被视为类别_____及下列详细说明。本人进一步声明及承认本人了解该分类的风险和后果，而持牌法团如道口证券有限公司将无责任向在此被分类为类别一及类别二的客户解释衍生产品的性质和风险。

类别一：拥有对衍生产品的知识

- 投资者于过去 3 年内进行 5 宗或以上任何衍生产品的交易。
- 中介人无须向该客户解释衍生产品的风险。

类别二：拥有对衍生产品的一般知识

- 一般知识可以帮助投资者了解衍生产品的性质和风险。
- 中介人无须向该客户解释衍生产品的风险。

类别三：对衍生产品没有知识

- 于交易所交易的衍生产品：即使对于仅执行的交易，中介也解释其性质和风险。
- 非交易所交易的衍生产品：中介机构应警告此类客户，并在所有情况下提供适合产品的适当建议，即使对于仅执行的交易也是如此，同时记录警告和其他相关信息。如果交易被评估为不适合，中介机构只有在代表客户最佳利益的情况下才能进行。

客户不会买任何衍生产品。

8. Declaration-Investor Characterization (Based on Knowledge in Derivatives)

I hereby declare and acknowledge that on the basis of my knowledge on derivative products, I consent to be treated as Category _____ under Investor Characterization as required by the Securities and Futures Commission and set out in details below.

I further declare and acknowledge that I understand the risks and consequences of such Characterization, and that licensed corporations such as Daokou Securities Limited will not be obliged to explain the nature and risks of derivative products to clients classified as Category 1 and Category 2 herein.

Category 1 - have knowledge of derivatives

- Investor has executed 5 or more transactions in any derivative product within the past 3 years.
- Intermediary is not required to explain the risks of derivative products to such clients.

Category 2 - have general knowledge of derivatives

- General knowledge could assist an investor to understand the nature and risks of derivative products.
- Intermediary is not required to explain the risks of derivative products to such client.

Category 3 - have no knowledge of derivatives

- Derivative products traded on an exchange : Intermediary should explain the nature and risks even for execution-only transactions.
- Derivative products not traded on an exchange : Intermediary should warn such client and provide appropriate advice on suitability for the client in all circumstances even for execution-only transactions, with record of the warning and other communications duly kept. Where the transaction is assessed as unsuitable, Intermediary should only proceed if acting in the best interests of the client.

Client DOES NOT WISH to trade any derivative products.

SIGN HERE

X

客户签署

Signature of Client

姓名：

Name：

日期：

Date：

X

持牌代表签署

Signature of licensed representative

姓名：

Name：

证监会编号及日期：

SFC CE No. & Date：

9. 帳戶授權書 Account Mandate

閣下戶口（如适用）是否唯一有权操作此帳戶及负责出交易指示之人士？

Are you the only person(s) with authority to operate the Account(s) and responsible for originating instruction in relation to a Transaction?

是 Yes

否（请填写「第三者授权书」） No（Please complete “Third Party Authorization”）

10. 客户声明 Declaration by Customer

<p>1. 你是否不属于此帳戶的最终实益拥有人？（如是，帳戶的最终实益拥有人是： Are you not the ultimate beneficial owner(s) of this Account? (If so, the ultimate beneficial owner(s) of the account is/are:</p> <p>名称 _____ 身份证/护照号码 _____ Name: _____ ID/Passport No. _____ 地址 _____ Address: _____</p>	<p>是 Yes 否 No</p>
<p>2. 你是否证券/期货交易所参与者的雇员或代理人，或于证监会注册的持牌法团/注册机构？ 如交易所参与者/持牌法团/注册机构的名称是（请提供你雇主的书面同意）：- Are you an employee or agent of an exchange of a stock/futures exchange, or a licensed corporation or registered institution? If yes, the name of the related exchange participant/licensed corporation/registered institution is (please provide your employer's consent letter)：-</p>	<p>是 Yes 否 No</p>
<p>3. 你是否与道口证券有限公司（“道口证券”）或联系人士的职员或代理人有亲戚关系： 如是，职员/代理人的名称是：- Are you a relative of an employee or agent of DaoKou Securities or its Associates? If yes, the name of employee/agent：-</p> <p>_____</p>	<p>是 Yes 否 No</p> <p><input type="checkbox"/> 双亲 Parent <input type="checkbox"/> 配偶 Spouse <input type="checkbox"/> 兄弟孩子 Sibling <input type="checkbox"/> 孩子 Child <input type="checkbox"/> 其他 Other</p>
<p>4. 阁下及/或阁下之配偶是否控制本公司任何客户的35%或以上的表决权？ Are you, either alone or with your spouse, control 35% or more of voting rights of any client of DaoKou Securities Limited?</p>	<p>是 Yes 否 No (Please specify) _____</p>
<p>5. 阁下是否被委任为重要公职（例如：国家或政府元首、重要政客、高级政府官员、高级司法人员、高级军官、国家企业高级行政人员、宗教领袖或以上人士的家人或有联系人士）？ Are you entrusted with prominent public functions (e.g. Head of State or of government, senior politicians/important political officials, senior government official, senior judicial officials, senior military officials, senior executives of state owned enterprises, religions leaders, or family members or close associates of the above-mentioned parties)?</p>	<p>是 Yes 否 No (Please specify) _____</p>
<p>6. 你是否美国公民或税务目的而言的居民？（注意：美国税收申报要求，美国人口需要特别审批。） Are you citizens or residents of the USA for tax purposes? (Note: Due to US tax reporting requirements, US persons' accounts require special approval.)</p>	<p>是 Yes 否 No</p>
<p>7. 你是否香港或中国内地以外的就税务目的而言的居民？如是，请列出该国国家： Are you (and/or the Secondary Joint Account Holder) a resident for tax purposes in any place other than Hong Kong? If yes, please list the country (ies)：-</p> <p>澳洲 台湾 日本 加拿大 泰国 印尼 其他：</p>	<p>是 Yes 否 No</p>

Method of Communication 通讯方法

无论客户选择以邮递或电子邮件作为通讯方法，道口证券有限公司有最终决定权使用任何其认为适当的通讯方式与客户进行通讯。

Please be noted that DaoKou Securities Limited reserves the rights, at their sole discretion to change or modify the method of communication to the Client that DaoKou Securities Limited deemed appropriate at any time.

请选择以下其中一项通讯方法 Please select one of the following method of communication：

By E-mail 电子邮件（可豁免行政费 Administrative Fee may be waived）

邮递 By Mail：须每月缴交行政费：Monthly administrative fee required

（请留意结单将以邮递方式寄往客户 Please note that the statement will be sent to Client by post）

住宅位址 Residential address 其他 Others：_____

11. 香港投资者识别码制度、场外证券交易汇报制度及FINI 制度下对个人资料的使用 Investor Identification, OTC Securities Transactions Reporting and Fast Interface for New Issuance

1. 本人/吾等明白并同意：道口证券为了向本人/吾等提供与在联交所上市或买卖的证券相关的服务，以及为了遵守不时生效的联交所与证监会的规则和规定，道口证券可收集、储存、处理、使用、披露及转移与本人/吾等有关的个人资料（包括本人/吾等的客户识别信息及券商客户编码）。在不限制以上的内容的前提下，当中包括 – I/We understand and agree that DaoKou Securities may collect, store, process, use, disclose, and transfer personal data related to me/us (including my/our client identification information and broker client codes) in order to provide services related to securities listed or traded on the Stock Exchange, and to comply with the rules and regulations of the Stock Exchange and the Securities and Futures Commission that are in effect from time to time. Without limiting the foregoing, this includes –

- a. 根据不时生效的联交所及证监会规则和规定，向联交所及 / 或证监会披露及转移本人/吾等的个人资料（包括客户识别信息及券商客户编码）；
In accordance with the rules and regulations of the Stock Exchange and the Securities and Futures Commission that are in effect from time to time, disclose and transfer my/our personal information (including client identification information and broker client codes) to the Stock Exchange and/or the Securities and Futures Commission;
- b. 允许联交所：(i) 收集、储存、处理及使用本人/吾等的个人资料（包括客户识别信息及券商客户编码），以便监察和监管市场及执行《联交所规则》；(ii) 向香港相关监管机构和执法机构（包括但不限于证监会）披露及转移有关资料，以便他们就香港金融市场履行其法定职能；及(iii) 为监察市场目的而使用有关数据进行分析；及
Allow the Exchange to: (i) collect, store, process, and use my/our personal information (including customer identification information and broker customer codes) for the purpose of monitoring and regulating the market and enforcing the "Exchange Rules"; (ii) disclose and transfer relevant information to Hong Kong regulatory and law enforcement agencies (including but not limited to the Securities and Futures Commission) so that they can perform their statutory functions regarding the Hong Kong financial market; and (iii) use the relevant data for analysis for the purpose of market monitoring; and
- c. 允许证监会：(i) 收集、储存、处理及使用本人/吾等的个人资料（包括客户识别信息及券商客户编码），以便其履行法定职能，包括对香港金融市场的监管、监察及执法职能；及(ii) 根据适用法例或监管规定向香港相关监管机构和执法机构披露及转移有关资料；及
Permitted by the Securities and Futures Commission: (i) to collect, store, process, and use my/our personal information (including customer identification information and broker client codes) in order to fulfill its statutory functions, including regulation, supervision, and enforcement functions related to the Hong Kong financial market; and (ii) to disclose and transfer relevant information to the relevant regulatory and law enforcement agencies in Hong Kong in accordance with applicable laws or regulatory requirements; and
- d. 向香港中央结算有限公司（香港结算）提供券商客户编码以允许香港结算：(i) 从联交所取得、处理及储存允许披露及转移给香港结算属于本人/吾等的客户识别信息，及向发行人的股份过户登记处转移本人/吾等的客户识别信息，以便核实本人/吾等未就相关股份认购进行重复申请，以及便利首次公开招股抽签及首次公开招股结算程序；及(ii) 处理及储存本人/吾等的客户识别信息，及向发行人、发行人的股份过户登记处、证监会、联交所及其他公开招股的有关各方转移本人/吾等的客户识别信息，以便处理本人/吾等对有关股份认购的申请，或为载于公开招股发行人的招股章程的任何其他目的。
Provide broker client codes to Hong Kong Securities Clearing Company Limited (Hong Kong Clearing) to allow Hong Kong Clearing to: (i) obtain, process, and store customer identification information that can be disclosed and transferred to Hong Kong Clearing from the Hong Kong Stock Exchange for clients belonging to me/us, and transfer my/our customer identification information to the issuer's share transfer register to verify that I/we have not made duplicate applications for the relevant shares, and to facilitate the IPO lottery and IPO settlement procedures; and (ii) process and store my/our customer identification information, and transfer my/our customer identification information to the issuer, the issuer's share transfer register, the Securities and Futures Commission, the Hong Kong Stock Exchange, and other relevant parties of the public offering, for the purpose of processing my/our applications for the relevant share subscriptions, or for any other purpose stated in the prospectus of the public offering issuer.

2. 本人/吾等亦同意，即使本人/吾等其后宣称撤回同意，道口证券或上述机构在本人/吾等宣称撤回同意后，仍可继续储存、处理、使用、披露或转移本人/吾等的个人资料以作上述用途。

I/we also agree that even if I/we subsequently claim to withdraw consent, DaoKou Securities or the aforementioned institutions may continue to store, process, use, disclose, or transfer my/our personal data for the aforementioned purposes after I/we declare the withdrawal of consent.

3. 为避免疑义，本同意表格为对《标准条款及细则》的补充，而非替代。本人/吾等确认就其个人资料的权利均列于《标准条件及细则》中的《个人资料使用通告》中。
To avoid doubt, this consent form is a supplement to the "Standard Terms and Conditions" and not a replacement. I/We confirm that the rights regarding our personal information are all listed in the "Personal Data Usage Notice" within the "Standard Terms and Conditions".

4. 本人/吾等确认已阅读并明白上述同意书的内容。本人/吾等以签署本表格，表示本人/吾等同意并确认道口证券(及在本同意表格中列明的相关方)可根据本同意书披露、转移、使用及/或储存本人/吾等的任何个人及交易资料(包括该等由我方提供予道口证券的个人资料)，及同意接受本同意书的条款。

I/we confirm that we have read and understood the contents of the above consent form. By signing this form, I/we indicate our agreement and confirmation that DaoKou Securities (and the parties specified in this consent form) may disclose, transfer, use, and/or store any of my/our personal and transaction information (including such personal data provided by me/us to DaoKou Securities) according to this consent form, and agree to accept the terms of this consent form.

12. 北向交易投资者实名制机制 The Investor ID Model regime for Northbound Trading

1. 根据沪深港股票市场交易互联互通(“中华通”)的规则和条例，我/我们明白道口证券需要向香港联合交易所(“联交所”)提交投资者的一些个人数据(包括姓名、身份证签发国家、身份证类型、身份证号等)，并由联交所将有关数据进一步传递给内地交易所或有关部门。

Under the rules and regulations of the China Stock Connect ("China Connect Rules"), I/We understand that DaoKou Securities is required to submit certain personal data of the investor as prescribed under the China Connect Rules (including name, ID issuing country, ID Type and ID number) to the Stock Exchange of Hong Kong Limited ("SEHK") who will further route or transfer such data to the Mainland exchanges or authorities.

2. 为此，我/我们同意道口证券为符合北向交易投资者实名制机制的要求而使用和传递上述提及的我/我们的个人数据。

For this purpose, I/We consent to the use or transfer of my / our personal data as mentioned above by DaoKou Securities for the purpose of complying with the Investor ID Model regime for Northbound Trading.

3. 本人知晓与“中华通”服务有关的风险(包括服务条款及细则所载的所有风险披露声明)，并愿意承担该等风险，本人已阅读并清楚明白服务条款及细则所带有关跨境理财通下的规定，本公司的角色和责任、资金闭环及跨境汇款要求、查询及投诉处理机制、投资专户的使用、投资者责任、所涉及的风险、向相关监管机构披露的信息以及发现投资者有违规情况时的处理等。

I/We have carefully read and understood the English or Chinese contents of the Client Agreement for Securities Trading (Segregated Account) Services, including the Risk Disclosure Statement (each an "Agreement" and that the contents of each Agreement have been provided and fully explained to me/us in a language which I/we understand. I/We accept, as evidenced by my/our signature(s) below, all the terms of each Agreement and agree to be bound by each Agreement as amended or supplemented from time to time

13.客户确认及签署Acknowledgement and Execution by Customer

1. 风险披露声明 Risk Disclosure Statement

客户确认道口证券以客户选择的语言（英文或中文）提供客户协议包括其附表 2 的风险披露声明及免责声明。客户进一步确认道口证券已经邀请客户阅读风险披露声明，提出问题及征求独立的意见（如客户有此意愿）。

The Customer acknowledges that the Securities Client Agreement including the Risk Disclosure Statements and Disclaimers in Schedule2 attached were provided in a language (English or Chinese) of the Customer's choice. The Customer further acknowledges that he/she was invited to read the risk disclosure statements, ask questions and take independent advice, if the Customer wished.

客户应经常密切留意市况，道口证券可能在强制平仓前无法与客户联络或未能提供足够时间让客户进行额外的存款。

The Customer acknowledges that he/she should closely monitor positions, as Daokou Securities may not be able to contact you or give you sufficient time to deposit additional funds, before forced liquidation of positions.

2. 提供准确的资料 Accuracy of information provided

载于本开户申请表（「资料表」）中的资料是真实和准确的。客户将会通知道口证券有关资料的任何重要改变。道口证券可联络任何人，包括客户的银行、经纪或信用调查机构，以核实资料表的内容。

The information contained in this Account Opening Application Form (the "Form") is true and accurate. The Customer will notify DaoKou Securities of any material change of that information. DaoKou Securities is authorized to contact anyone, including Customer's banks, brokers or any credit agency, for verifying the information provided in this Form.

户口种类 Account Type

选择服务 Select Service(s)

现金账户 (Cash Account) 请为我开立现金账户。我/已经阅读并明白证券客户协议的条款，并接受这些条款的约束。
Please open a Cash Account for me. I have read and understand the provisions of the Securities Client Agreement and agree to be bound by same.

现金账户 Cash Account

客户确认 Acknowledgement by Customer

我同意道口证券或联系人士以各种方式提供投资或有关财务产品及服务的资料，其包括研究报告及特别优惠等。

I consent to DaoKou Securities or its Associates providing information about investment or financial related products and services including research reports and special offers by any means.

是 Yes

否 No

签署人 Signed by :

*个人/主要帐户持有人签署

Individual/Primary Joint Account Holder's Signature :



日期 Date :

*将被用作签名样式 Will be used as Specimen Signature

职员/经纪声明 Declaration by Staff /Account Executive

我确认已经以客户选择的语言（英文或中文）提供了载于证券客户协议附表 2 内的风险披露声明，并且我已经邀请客户阅读风险披露声明，提出有关问题及寻求独立意见（如客户有此意愿）。

I confirm that I have provided the Risk Disclosure Statements as set out in Schedule 2 of the Securities Client Agreement in a language (English or Chinese) of the Customer's choice. I have also invited the Customer to read the Risk Disclosure Statements, ask questions and independent advice if the customer wishes.

持第 1 类（证券买卖）Licensed for Type 1（Licensed Corporation）

日期 Date :

职员/经纪签署

+

职员/经纪姓名

+

CE 编号

+

Signature of Staff/AE

Name of Staff/AE

CE No.

14.见证客户签署 (如适用) WITNESSING OF CLIENT SIGNATURE (if applicable)

客户须在本公司之持牌代表面前签立本开户申请表, 或将本开户申请表连同恰当的支票+一并交回本公司, 否则本开户文件的签立及有关的身份证明文件的见证, 应由适合的证明人包括公证人、律师、会计师、特许秘书、持牌银行分行经理、太平绅士、发出身份核实文件的国家的大使馆、领事馆或高级专员公署的人员或其他证监会持票人加以验证。

If this Account Opening Application is not executed in the presence of the Company's licensed representative or is not submitted with an appropriate cheque+, then signing of this Account Opening Application and sighting of related identity documents should be certified by a Notary Public, Lawyer, Certified Public Accountant, a Chartered Secretary, a Branch Manager of a Bank, a JP (Justice of the Peace), an officer of an embassy, consulate of high commission of the country of the issue of documentary verification of identity or any other SFC licensed person.

本人谨此见证上述客户签立此开户申请表 (构成本协议之重要文件) 及其有关身份证明文件之正本 :

I, the undersigned hereby certify that I have witnessed the signing of the Account Opening Application (forming an integral part of the Agreement) by the Client and have seen the original of the relevant identity documents of the Client :

见证人签署

Signature of Witness

姓名

Name:

所属专业及职衔

Profession/Title:

日期

Date:

+ 数额不少于港币一万元的划线支票。支票须有客户本人签发予「道口证券有限公司」, 而支票签发人姓名及签名模式须与本开户申请表上之客户名称及签署相符。新客户帐户须在支票兑现后方可使用。

+ A crossed cheque of not less than HKD10,000 in favour of "Daokou Securities Limited" bearing Client's name and signature as shown in this Account Opening Application and drawn on a licensed bank in Hong Kong. The new account cannot be activated for use until the cheque has been cleared.

15.注意事项 Notes

- 请随本表格附上全部帐户持有人之身份证副本及最近三个月内住宅及通讯地址证明文件 (邮政信箱恕不接受) 。
Please enclose an ID copy and residential and correspondence address(es) proof dated within the last 3 months (P.O.box is not accepted) for all account holders with this Form.
- 全部帐户持有人必须在道口证券或联络人士的职员/代理人面前填妥及签署本表格; 如非亲身开户, 请参看下方的注解 6 。
This Form should be completed and signed by all account holders in front of an employee or agent of DaoKou Securities or its Associates. Otherwise, please see Note 6 below.
- 任何有关个人资料的删改必须由全部帐户持有人签署作实。
Any deletion or amendment of the personal information must be signed by all account holders.
- 帐户持有人的款项将会被存入本表格内所提供的指定银行帐户, 除非另行通知。
All fund payable to the account holder will be credited to the designated bank account nominated on this Form, unless otherwise instructed.
- 道口证券恕不接受第三者存款。任何例外情况都需要管理层的预先批准。
Third-party deposit will not be accepted. Any exception is subject to prior management approval.
- 如资料表并非在道口证券或联系人士的职员/代理人面前签署, 帐户持有人必须遵从以下其中一种程序要求:
If this Form is not executed by the account holders in front of an employee or agent of DaoKou Securities or its Associates, the account holders should comply with either one of the following procedural requirements :
- 交给道口证券在香港持牌银行开立的帐户所签发不少于一万元港元的个人支票, 该支票的签名及姓名须与本表格的客户签名及身份证明文件相符, 而支票抬头须为“道口证券有限公司”。客户的帐户必须持支票兑现后才可使用; 或
Send a personal cheque in favour of "DaoKou Securities Limited" for not less than HK\$10,000 drawn on an account with a licensed bank in Hong Kong, bearing the signature(s) as on this Form and name(s) as on the identity document(s). The new account will not be activated until the cheque is cleared; or
- 由其他持牌人或注册人、道口证券的联系人士、太平绅士、银行分行经理、执业会计师、律师或公证人见证客户的签名及身份证明文件。
Have any other licensed or registered person, Associate of DaoKou Securities, justice of the peace, branch manager of a bank, certified public accountant, lawyer or notary public certify the signature and identity documents of the account holders.